



THE BRETON LAW GROUP

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File No. 41736

April 21, 2020

Nova Scotia Utility & Review Board
PO Box 1692, Unit "M"
Halifax, Nova Scotia
B3J 3S3

Attention: Doreen Friis, Regulatory Affairs Officer / Clerk

Dear Ms. Friis:

**Re: EfficiencyOne Compliance Filings
2019 Financial Statements
2019 Affiliate Code of Conduct Compliance Report**

Enclosed please find the following to be filed on behalf of EfficiencyOne:

1. EfficiencyOne Audited Financial Statements for the Year End December 31, 2019 (Board Only Confidential and Redacted versions). This report contains the following attachments:
 - Attachment 1: Statement of Operations & Changes in Fund Balance – Details by GL Account – DSM Fund for the Year Ended December 31, 2019;
 - Attachment 2: Statement of Financial Position – Details by GL Account – DSM Fund as at December 31, 2019;
 - Attachment 3: T2 Corporation Income Tax Return;
 - Attachment 4: EfficiencyOne Services Financial Statements for the Year Ended December 31, 2019.
2. EfficiencyOne 2019 Affiliate Code of Conduct Compliance Report (Board Only Confidential and Redacted versions). This report contains the following attachments:
 - Attachment A: Summary of Affiliate Transactions, for the Period January 1, 2019 to December 31, 2019;
 - Attachment B: Summary of Affiliate Transactions, EfficiencyOne Employees;

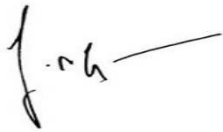
- Attachment C: EfficiencyOne Code of Conduct Governing Affiliate Transactions Internal Guidelines;
- Attachment D: E1 Code of Conduct – Staff Internal Guidelines.

EfficiencyOne requests **Board Only Confidential** treatment of the following Attachments for each of the Audited Financial Statements and the Code of Conduct Compliance Reports:

1. Audited Financial Statements for Year End December 31, 2019 - Attachment 4: Attachment 4 contains the commercially sensitive Financial Statements of EfficiencyOne Services.
2. 2019 Code of Conduct Compliance Report – Attachment B: Attachment B contains sensitive salary information of EfficiencyOne employees.

In accordance with the Board's communication of March 20, 2020, relating to its COVID-19 Temporary Administrative Measures, paper copies of this filing will not be provided unless requested by the Board
Yours very truly,

THE BRETON LAW GROUP

A handwritten signature in black ink, appearing to read 'J. R. Gogan', with a long horizontal stroke extending to the right.

James R. Gogan