



THE BRETON LAW GROUP

James R. Gogan

Direct Dial: (902) 563-5920

E-Mail: jim@bretonlawgroup.com

File No. 41736

April 28, 2021

Nova Scotia Utility & Review Board
3rd Floor, 1601 Lower Water Street
Halifax, Nova Scotia
B3J 3S3

Attention: Crystal Henwood, Regulatory Affairs Officer / Clerk of the Board

Dear Ms. Henwood:

Re: EfficiencyOne Compliance Filings: 2020 Financial Statements and 2020 Affiliate Code of Conduct Compliance Report

Enclosed please find the following to be filed on behalf of EfficiencyOne:

1. EfficiencyOne Audited Financial Statements for the Year End December 31, 2020 (Board Only Confidential and Redacted versions). This filing includes the following additional attachments:
 - Attachment 1: Statement of Operations & Changes in Fund Balance – Details by GL Account – DSM Fund for the Year Ended December 31, 2020;
 - Attachment 2: Statement of Financial Position – Details by GL Account – DSM Fund as at December 31, 2020;
 - Attachment 3: T2 Corporation Income Tax Return;
 - Attachment 4: EfficiencyOne Services Financial Statements for the Year Ended December 31, 2020.

E1 is proactively including Attachment 1 to 4 as part of this filing. E1 has filed this information within its annual Financial Statements Compliance filing since 2019 (2018 Financial Statements) in response to previous NSUARB Information Requests that had requested this information.¹

2. EfficiencyOne 2020 Affiliate Code of Conduct Compliance Report (Board Only Confidential and Redacted versions). The Report contains the following attachments:
 - Attachment A: Summary of Affiliate Transactions, for the Period January 1, 2020 to December 31, 2020;
 - Attachment B: Summary of Affiliate Transactions, EfficiencyOne Employees;
 - Attachment C: EfficiencyOne Code of Conduct Governing Affiliate Transactions Internal Guidelines;
 - Attachment D: E1 Code of Conduct – Staff Internal Guidelines.

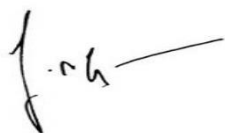
EfficiencyOne requests **Board Only Confidential** treatment of the following:

1. Audited Financial Statements for Year End December 31, 2020 - Attachment 4: Attachment 4 are the Financial Statements of EfficiencyOne Services which includes commercially sensitive financial information;
2. 2020 Code of Conduct Compliance Report – Section 2.1, pages 2 and 3, residential address information of individual Officers of E1S and HCIC.
3. 2020 Code of Conduct Compliance Report – Attachment B: Attachment B contains confidential individual salary information of EfficiencyOne personnel.

In accordance with the Board's communication of March 20, 2020, relating to its COVID-19 Temporary Administrative Measures, paper copies of this filing will not be provided unless requested by the Board

Yours very truly,

THE BRETON LAW GROUP



James R. Gogan

¹ The NSUARB submitted Information Requests to E1 in 2016, 2017, and 2018 that requested the information now provided in Attachments 1 to 4 within this current filing. See NSUARB Information Requests M07495 (2015 Financial Statements), M08011 (2016 Financial Statements), and M08632 (2017 Financial Statements).